Cleveland Diagnostics

the future in cancer diagnostics

IsoPSA[™] Prospective Study Interim Analysis

7/13/2016





Key Issues in Screening & Diagnosis

To be clinically useful, a biomarker must be specific to both <u>tissue type</u> AND to <u>cancer</u>

- PSA & kallikreins are prostate specific but not cancer specific
- Diagnostic accuracy, predictive value, and clinical utility of current biomarkers are limited by
 - lack of cancer specificity
 - relatively poor sensitivity



PSA has multiple structural isoforms that are cancer specific



Biomarker Structure vs. Concentration

- Biomarkers produced by cancer cells
 - Different 3D structure than the same proteins produced in normal cells
 - Truncations (proPSA)
 - Post-translational modifications (glycosylation)
- There is ample literature on structural changes to PSA in cancer
 - ~ 100s-1000s of PSA isoforms in serum
- Current screening assays measure only the <u>concentration</u> of a limited number of these isoforms
- IsoPSA measures both <u>structure</u> and <u>concentration</u>

IsoPSA assayed by Solvent Interaction Analysis (SIA)

- Novel assay using proprietary aqueous-based solvents to detect overall changes in the structure or isoform mixtures of biomarkers
- Interrogates entire PSA isoform distribution in serum as opposed to pre-selecting individual protein biomarkers
- Reports an overall index to the structure, K
- Add on to tPSA/fPSA assay, performed on same sample before standard ELISA at low cost
- Results independent of tPSA





Separation based on structural *change*

- A sample is first placed in the aqueous twophase system.
- The system is agitated and then centrifuged to thoroughly mix and then separate the solutes.
- Protein isoforms partition unequally between the top and bottom phases based on their *structure*.





K Value

- K is the output of SIA
- Represents the quantitative ratio of the biomarker concentration in each phase.
- K is independent of the total biomarker concentration.
- The value of K corresponding to cancer and benign disease states is calibrated against known clinical samples diagnosed by biopsy.
- The biomarker concentration in each phase is measured with immunoassay.



IsoPSA[™] Multicenter Prospective Study: Interim Snapshot

- Principal Investigator:
 - Eric Klein, MD, Chairman, Glickman Urology and Kidney Institute, Cleveland Clinic
- Participating Institutions:
 - Cleveland Clinic Foundation
 - Louis Stokes VA Medical Center Cleveland
 - Michigan Urology Institute
 - Chesapeake Urology
 - National Hospital Abuja, Nigeria

Objective:

• Assess clinical performance of IsoPSA against gold-standard 12 core TRUS prostate biopsy

Study Protocol:

- IRB approved protocol (national & site-specific)
- Serum/plasma obtained from volunteers already selected for prostate biopsy according to current medical criteria
- IsoPSA clinical performance is evaluated using standard statistical techniques
- IsoPSA is tested alone, or in combination with other parameters for various clinical needs

Study Summary

Sample Cohort	132 prospective patient samples collected prior to prostate biopsy. Subjects were selected for biopsy on the basis of an abnormal peripheral blood PSA level (total PSA, age specific PSA, PSA velocity, free PSA fraction, etc.) and/or other clinical presentations including an abnormal prostate digital rectal examination.
Study Protocol	 Plasma and Serum were collected from each patient tPSA, %fPSA, and IsoPSA[™] K were determined in serum and plasma for each patient
	 To evaluate the diagnostic accuracy of IsoPSA[™] for all prostate cancer (Gleason Score ≥ 6(3+3)) ROC analysis was applied to tPSA and IsoPSA[™] conducted on complex PSA (cPSA) and the outcome of prostate cancer as determined by biopsy. The sensitivity, specificity and predictive value is compared between IsoPSA[™] conducted with cPSA and for tPSA.
Data Analysis	 To evaluate the diagnostic accuracy of IsoPSA[™] for more aggressive prostate cancer (Gleason Score ≥ 7(3+4)). ROC analysis was applied to IsoPSA[™] and the outcome of the more aggressive prostate cancer for all patients as determined by biopsy. The sensitivity, specificity and predictive value is compared for IsoPSA[™] conducted with cPSA and tPSA.

IsoPSA 6 (Single Parameter, K): Any Cancer



	PSA	IsoPSA 6
Cut-off	4 ng/ml	8
Sensitivity	91%	94%
Specificity	12%	47%
NPV	57%	88%
PPV	51%	64%
AUC	0.58	0.80

Single parameter, K, representing an index to the overall structure of PSA, has excellent discrimination power

Multi-Variate Model – Gleason ≥ 7



Very high NPV:

Negligible chance for aggressive cancer

Very high PPV:

High chance for aggressive cancer

Multi-Variate Model – Gleason ≥ 7

Gleason 7 and above Prostate Volume, Age, tPSA, cPSA, K



	PSA	IsoPSA 7	IsoPSA 7
Cohort		Low Risk	High Risk
Prevalence	34%	34%	34%
Cut-off	4 ng/ml	< 15%	>64%
Sensitivity	91%	97%	47%
Specificity	13%	47%	96%
NPV	68%	97%	78%
PPV	35%	49%	86%
AUC	0.61	0.85	0.85

Probabilistic Clinical Interpretation



IsoPSA KP Test Probability, %

Number of Biopsies Per High Grade Cancer

Reduction in unnecessary biopsies:	52%
Missed high risk patients:	None
Correct identification of low risk patients:	97%

Clinical Significance

Risk of Gleason 7 or greater



- Reduction in unnecessary biopsies: 52%
- Missed high risk patients: None
- Correct identification of low risk patients: 97%

On-Going Study: Key Aims

- Patient selection for primary biopsy
- Potential utility
 - Reflex test for repeat biopsy
 - Head to head comparison vs. other available markers
 - Prediction of high grade/biologically aggressive disease
 - Prediction of adverse pathologic stage on RP
 - Serial individual monitoring (% change in IsoPSA)
 - Performance & discrimination in subpopulations
 - AA, younger patients, germline risk

Press Releases Following AUA



Meeting American Geriatrics Society (AGS)



On connaît les limites du test PSA, et même ses dangers, avec les risques de surdiagnostic, sur-biopsie et surtraitement. Bien que devant aujourd'hui être l'objet d'une

décision éclairée médecin-patient, toujours utilisé comme un outil de dépistage de masse (v compris en France), le dosage du PSA entraîne



"In general, the clinical utility of prostate cancer early detection and

fact that biomarker concentrations may be affected by physiological

Как пи

кишечн

Urology Indications: Case Study



Patient	201

Age	46
Race	African American
tPSA	3.0 ng/mL
%fPSA	14.1%
Prostate Volume	34 g

Patien	t 68
Age	50
Race	White
tPSA	3.8 ng/mL
%fPSA	11.2%
Prostate Volume	40 g



Urology Indications: Case Study IsoPSA[™] 7 Results



\ge	46
Race	African American
PSA	3.0 ng/mL
%fPSA	14.1%
Prostate /olume	34 g
soPSA [™] 7	Low Risk

Patient 68
Age 50
Race White
tPSA 3.8 ng/mL
%fPSA11.2%
Prostate Volume 40 g
IsoPSA [™] 7 High Risk



Urology Indications: Case Study Biopsy Results



IsoPSA[™] 7: Low Risk

IsoPSA[™] 7: High Risk

A Combined IsoPSA Screening/Diagnostics Workup Minimal Performance Scenario



Planned Meetings/Publications

- AUA Late Breaking Session May 10, 2016
- AUA North Central Meeting September, 2016
- Friends of Israel July 2016
- Society of Urological Oncology December 2016
- ASCO-GU
- European Journal of Urology Fall 2016



Cleveland Diagnostics

Arnon Chait, CEO 440-454-1454

"Nearly every cancer [and neurodegenerative disease] that is caught early is curable." - Bob Kronemyer